

CETREL Securities - Legal Reporting Services

CETREL Securities - Worldline *The reference in Financial Data Services*

The Company

In 2006, CETREL Securities S.A. has been created as a **regulated company** supervised by the CSSF and dedicated to financial data services and regulatory reporting. CETREL Securities is a wholly-owned subsidiary of Worldline.

Legal Reporting Services Overview

Since the CSSF circulars 08/334 in 2008, CETREL Securities has developed, first on the basis of SOFiE Business and then beyond of it, three service lines for legal reporting and reporting formalities purposes.

These three lines of service facilitate and secure exchanges between participants and their respective regulators, namely the CSSF (Commission de Surveillance du Secteur Financier), the BCL (Banque Centrale du Luxembourg) and the CAA (Commissariat Aux Assurances).

Legal Reporting Services:

- SOFiE SORT
- ATLAS
- Initial Notifications

SOFiE SORT

SOFiE SORT (Secured Online Reporting Transfer) is a service to transfer reporting in a secured way towards the CSSF, the BCL and the CAA.

The SOFiE SORT service offers a graphical user interface (GUI) and is based on the SOFiE Crypt and the SOFiE Transport file transfer solutions, offering a double level of encryption in compliance with regulatory requirements.

The SOFiE SORT package is installed at the participant's site and named SOFiE SORT Station, and interacts dynamically & securely with SOFiE Server at CETREL Securities.

ATLAS

ATLAS is a regulatory filing service interacting with the CSSF. Based on the CSSF procedures description, ATLAS creates and sends the procedures with all the required information & files, and facilitates the follow-up.

ATLAS offers a graphical user interface (GUI) to let the Client Officers to define what they need to do and to transfer their information & files to CETREL Securities, in a secured way via a SOFiE SORT Station. Then ATLAS interacts with the CSSF according procedures to create and to send information & files to the CSSF.

ATLAS enables a high level of automation, up to the point of allowing the automatic execution of all operations.

ATLAS covers thirty CSSF procedures, at least, such as Full prospectus, Offering Document, Annual report, Approval of a new UCI, Yearly financial information ...

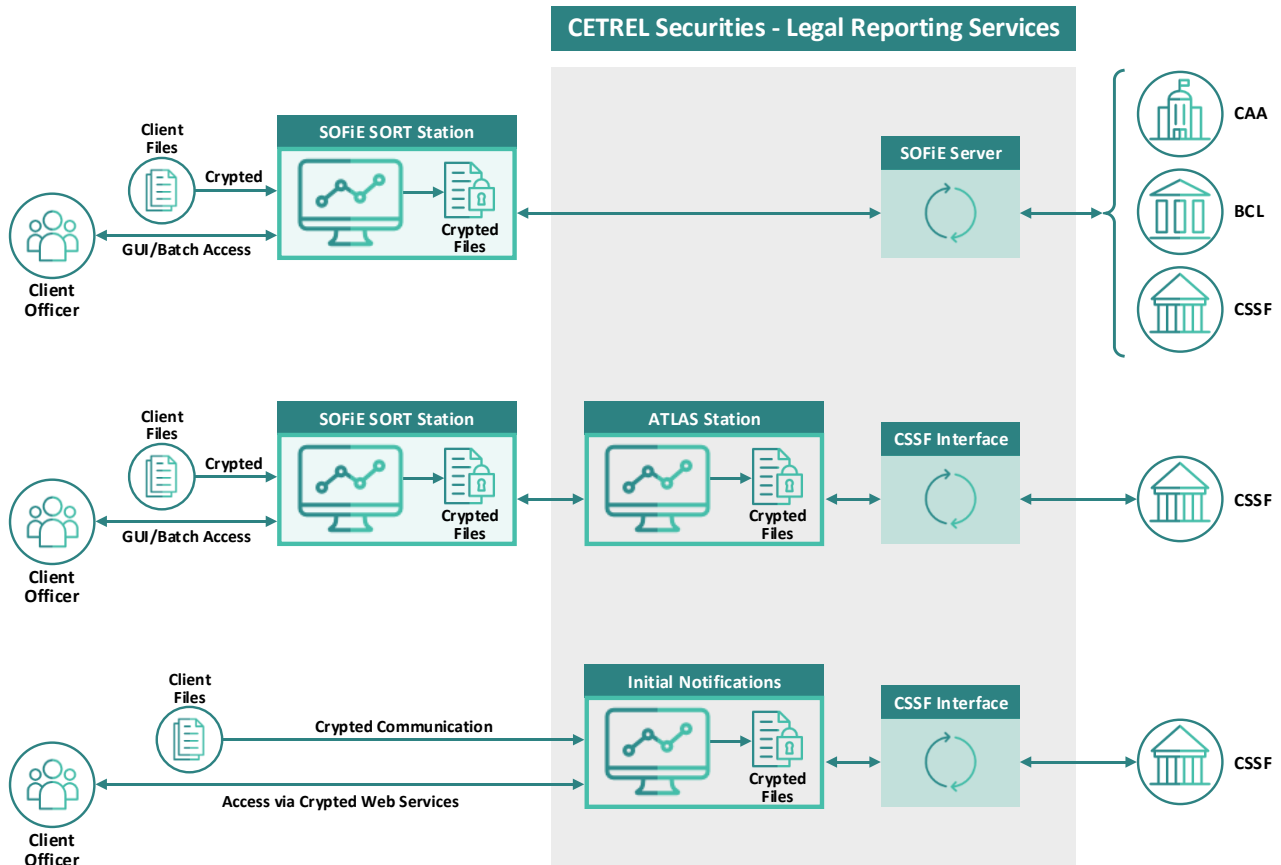
Initial Notifications

Initial Notifications is a service to allow the Client Officers to send to the CSSF their initial notifications when launching a new investment product, typically an investment fund but not only.

The Initial Notifications service is based on secured web services, which are invoked by the Client IT systems and then interact with the CSSF.

The Initial Notifications service performs all necessary checks to ensure that all information and documents are present, generates a PDF notification and sends it to the CSSF, which acknowledges receipt of the said notification.

[Click here to get the list of reports and procedures supported by the Legal Reporting Services.](#)



Key Benefits

- Large coverage of regulatory reports and procedures
- Be fully compliant with the CSSF regulation
- Recognition and verification of structured files avoiding the transmission of incorrectly structured files
- Direct recognition of the receiving regulatory authority
- Reliability - Stability - Reactivity - Highly Secure
- Ease of use and access
- Reduced Regulatory Risk & Total Cost of Ownership
- Reduced Volatility in Process and Technology
- Reduced User Time spending and Delivery risks
- Improved Delivery Quality and Security
- Increased Straight Through Processing (STP)
- Highly configurable and can be easily reinstalled, moved or duplicated

Our Offer

- CETREL Securities as single point of contact
- 24/7 Supervision and Monitoring
- Business Process Outsourcing facilities

CETREL Securities would be happy to provide you with additional information and **a demonstration of our products and services.**